

www.companiesoffice.govt.nz | 0508 COMPANIES | 0508 266 726

Post your completed form to: National Processing Centre, Private Bag 92061, Victoria Street West, Auckland 1142

Filing form for FMC reporting entities

Financial Markets Conduct Act 2013 (FMC Act 2013)

Entity name

Entity number

Balance date

IMPORTANT

- › This form should only be used by FMC reporting entities (other than companies).
- › All companies registered on the NZ Companies Register that are FMC reporting entities should register financial statements using the online filing service at www.companies.govt.nz. Do not submit company financial statements in paper form.
- › Schemes registered under the FMC Act 2013 must file financial statements online on the Disclose Register at www.companiesoffice.govt.nz/disclose

Complete the following information

Total assets

Total liabilities

Total revenue

Currency

Audit firm / Auditor

Auditor opinion

- Exempt
- Unqualified opinion
- Unqualified opinion with emphasis on matter
- Unqualified opinion with other matter
- Qualified opinion
- Adverse opinion
- Disclaimer of opinion

Form completed by

Name:

Address:

Email address:

Telephone number:

Fax number (if any):

Filing form for FMC reporting entities (continued)

Entity name

Entity number

Payment details

Amount

	Companies Office fee GST excl	FMA Levy GST excl	XRБ Levy GST excl	Total GST excl	GST	Total GST incl
--	-------------------------------	-------------------	-------------------	----------------	-----	----------------

<input type="checkbox"/> Financial statements filing fee	\$175.00	\$48.00	\$0.00	\$223.00	\$33.45	\$256.45
--	----------	---------	--------	----------	---------	-----------------

Please indicate here if another fee applies, e.g. annual return fee*

<input type="checkbox"/> Amount: \$	For:
-------------------------------------	------

*Annual return fee - \$57.25 – GST inclusive

(Section 127 Friendly Societies and Credit Unions Act 1982, Section 103 Building Societies Act 1965)

Method of payment

Choose your payment method from the options below. **Please do not send cash or a purchase order**

Cheque

Make your cheque payable to the 'Ministry of Business, Innovation and Employment'

or

Credit card

Credit card type:

Visa

Amex

Mastercard

Diners

Expiry date:

 /

Name of cardholder:

Card number:

Card Security Code:

Signature of cardholder:

or

Direct debit

Your (or your organisation) name:

OR

Your 9 digit User ID No:

Signature:

NOTE

Your Card Security Code number is the 3 or 4 digit number printed on your card.

For Visa, Mastercard & Diners cards this is typically found printed on the signature panel on the back of your card.

On Amex cards this is a 4 digit number printed on the front of the card, above the main credit card number.